CNIC NON-APPROPRIATED FUND EMPLOYEE PERFORMANCE RATING FORM

1. Name (Last, First, MI) 2. Last 4 SSN						
3. Position Title, Pay Plan, Series, Grade (e.g., Clerk, NF-0000-01)						
4. Name and Location of NAF Activity (e.g., CNIC N-9 NSA Mid South)						
5. Reason for Rating and Rating Period				From:	-	Го:
90 Day Interim Annual	Sep	aration/Close Out				
6. Rating elements	Outstanding	Highly Satisfactory	Sati	sfactory	Minimally Satisfactory	Unsatisfactory
a. Quality of Work						
b. Productivity						
c. Dependability						
d. Working Relationships (peers & supervisor)						
e. Customer/Patron Relations						
f. Leadership*						
g. Management/Coaching Effectiveness/EEO Commitment *						
*Supervisory rating only						
7. Overall Performance Rating (A rating of Unsatisfa	actory in any one	e element results i	in an U	Insatisfacto	ory Overall Rating	g)
Outstanding Highly Satisfactor	y Satisfacto	ry Minimally S	Satisfac	ctory 🔲 l	Jnsatisfactory [
		-				
8. a. Pay Increase:	Yes 🗌	Amount: \$		No 🗌		
b.Performance Award:	Yes 🗌	Amount: \$		No 🗌		
c. Time Off Award: Yes Hours: = No						
9. Supervisors Remarks: (Separate sheet may be attached.)						
10. Rater's Signature					Date	
11. Approving Official's Signature Date						
12a. Employee Signature (Indicates receipt and that rating has been discussed with employee.) Date						
12b. Date Discussed and Copy of Completed Evaluation Package Provided to Employee: Date						

Self-Explanatory

*Rate non-supervisors using elements a. through e. Rate supervisors using a. through g.

NOTE: A rating of "Unsatisfactory" must be delayed and a Letter of Caution must be issued

RATING ELEMENTS	Outstanding	Highly Satisfactory	Satisfactory	Minimally Satisfactory	Unsatisfactory
a. Quality of Work Consider thoroughness, accuracy, & effectiveness. Completes or assists in completing goals & objectives; adherence to policy	Ahead of plan producing desired effect with little supervision. Exceptionally thorough and accurate. Adheres to policy, identifies improvements.	On plan producing desired effect with little supervision. Thorough and accurate. Adheres to policy, identifies improvements.	On or nearly on plan with no serious deficiencies and moderate supervision. Generally accurate. Adheres to policy	On or nearly on plan and meets standards after feedback & corrections; Requires supervision. Work needs improvement. Adheres to policy.	Tasks are often late and do not meet standards after feedback or guided practice. Shows a lack of attention to detail and needs serious improvement. Does not follow policy.
b. Productivity Consider completion of assignments, & effectiveness of work performed. Volume of work & ability to meet or exceeding deadlines.	Handles extraordinary volume of work. Highly efficient.	Above average volume of work Efficient.	Work volume meets all and may exceeds some standards.	Volume of work meets minimal standards. Improvement desired.	Work volume does not meet minimal standards.
c. Dependability: Consider reliability, timeliness, capableness, competency, efficiency, and conscientiousness of work performed.	Difficult assignments handled intelligently and effectively. Complicated or controversial subjects are presented or explained effectively so desired outcomes are achieved. Solution oriented. Succeeds with basic direction and without direct supervision.	Demonstrates performance that exceeds expectations in critical areas. Develops/implements workable, cost effective solutions to meet organizational goals. Works with minimal direct supervision.	Demonstrates good, sound performance that meets organizational goals. Supports workable, cost effective approaches to projects and change initiatives. Responsive to direct supervision.	Demonstrates sound performance that meets organizational goals. Carries out assignments with written direction and supervision. Works on non-difficult assignments only. Requires assistance with prioritizing and scheduling.	Regularly fails to complete assignments on time or with little degree of accuracy. Needs constant supervision and counseling
d. Working Relationships Consider interest, enthusiasm, team- work, willingness, behavior, flexibility, & cooperation	Recognized as a problem solver. Exerts and demonstrates a major positive influence, by example, on program implementation. Contributes substantially to organizational growth and cohesiveness. Highly respected by supervisors and peers. Improves cooperation among participants in the work and prevents misunderstandings.	Practices constructive problem-solving and is able to build confidence in team members. Actively listens to others. Reports up and down routinely with all team members. Fosters a polite, respectful and trusting relationship with others and between others. Assumes the lead often.	Is polite, respectful and develops working relationships with others. Able to work well with others to solve problems and find solutions. Agrees to lead or take assignments when asked by management. Is able to offer opposing views. Accepts decisions of majority with reservations.	Avoids taking assignments on team efforts. Attitude prevents productive relationships. Goes along to get along on most issues. Has potential to be a productive team member but may lack the motivation.	Blames, labels and generalizes. Tendency to withhold help, information, or resources from others. Complains without following channels. Often does not verify facts or speaks without knowledge – contributing to rumors and morale problems. Typically waits for others to act. Rigid point of view. Not a team player.
e. Customer/Patron Relations Responsive to customer needs. Demonstrates attentiveness & courtesy. Maintains accurate knowledge relative to products, services, policies & procedures.	Able to work through complicated and controversial issues with internal/external customers.	Actions & attitude greatly enhance area of responsibility on a regular basis	Actions and attitude contribute to positive feedback.	Actions and attitude may contribute to positive feedback at times but there is room for improvement.	Actions & attitude harm relationships & generate complaints.
f. Leadership Consider ability to establish goals and objectives; leadership of subordinates; relationships with peers in program area; effective management of area of responsibility	Able to establish and complete short and long term team goals and objectives; maintains effective relationships with peers in program and Command area; is pro-active in managing area of responsibility (i.e., anticipates shortfalls; potential crises and resolves potential Program/ people problems before they occur.); regarded as highly effective and responsive by program customers. Revered as an effective leader by others.	Able to complete short and long term team goals and objectives; works to establish effective relationships with peers in program area; tries to be proactive in managing problems or complaints before they occur. Regarded as an effective and responsive leader by others.	Completes short and long term team goals and objectives with coaching; works to maintain effective relationships with peers in workplace area; tries to be proactive in managing problems or complaints before or as they occur. Regarded as a responsive leader by peers and the program workforce.	Completes short and long term assignments with direct supervision and with coaching; Has difficulty maintaining productive and effective relationships with others; manages problems or complaints by passing them off to others to resolve. Allows small problems to grow into more difficult problems	Avoids some assignments. Needs direct supervision and coaching with most assignments. Does not develop productive and effective relationships with others in the workplace. Often passes problems or complaints off or ignores them. Allows small problems to grow into more difficult problems.
g. Managerial/Coaching Effectiveness/EEO Commitment	To be completed for incumbents in all supervisory/management positions. Consider ability to get work completed through and by subordinates, delegation, fairness, communication, effectiveness in motivating subordinates, building an effective and diverse work team, earning and maintaining respect of subordinates, and development of subordinates. Take into consideration any contributions to the Mission and Goals of the organization and to what degree did the person being rated use their management and coaching skills in those contributions. Demonstrates commitment to fairness and promotes efforts to resolve conflict and ensure a positive work environment. Consider compliance with laws, regulations, and/or policies such as EEO & Merit Systems Principles, and cooperation with EEO officials during inquiries.				

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Page 2 of 3

- **7. Overall Performance Rating** (A rating of Unsatisfactory in any one element results in an Unsatisfactory Overall Rating) Check one of the five ratings after completing item 6.
- **8.** a/b/c Pay Increase/Award: Pay increases and awards are not automatic, and are subject to local cost controls, policies and bargaining unit agreements. Check appropriate block and include recommended amount. Pay increases and awards should be effective the first full pay period after the Approving Official approves and signs the rating form. This requires timely discussion of the appraisal and any award with the employee.
- **9. Supervisor Remarks:** Provide a brief narrative that supports the ratings, note special accomplishments and provide goals for the next rating period. A separate sheet may be attached to the performance rating form.
- 10. Rater's Signature: Supervisor should not sign until the rating and any award/pay increase decisions have been discussed with the Approving Official.
- **11. Approving Official's Signature:** Designation is a local decision; however, the Approving Official should be at least one level above that of the signing supervisor/rater. Approving Official reviews the evaluation and makes changes if considered appropriate.
- **12a. Employee's Signature and Date:** Discussion between the supervisor/rater and employee should not be held until Approving Official has reviewed, approved and signed the rating. In the discussion, the supervisor represents management's view of the employee's performance.
- 12b. Date Discussed and Copy of Completed Evaluation Given to Employee: Copy must be provided to employee within two weeks.

Possible Actions to Support EEO Commitment Rating				
	Displays personal commitment to EEO principles	 Reviews the organization's EEO and Anti-Harassment policies wi staff bi-annually 		
		- Communicates commitment to EEO policies in writing to staff		
		- Ensures policies are posted on local bulletin board		
		- Ensures full cooperation of employees with EEO officials		
	Displays ongoing efforts to enhance a positive work environment	 Reacts immediately and consistently to stop inappropriate jokes, language, and behaviors, harassment, or any other discriminatory or retaliatory behavior 		
		 Documents ideas for ongoing improvements in EEO education and climate 		
		 Contacts EEO office for technical assistance on EEO matters, such as providing reasonable accommodations, anti-harassment procedures, and religious accommodations 		
	Effectively resolves conflicts in the work environment as they arise	- Promptly addresses concerns raised by staff		
		 Follows up with appropriate action to correct or eliminate tension in the workplace 		
		- Attempts early resolution in a positive and constructive manner		
	Assists the EEO Office in identifying and removing obstacles to equal opportunity within the organization			
	Monitors staffs' completion of required EEO training and encourages participation in other EEO-related trainings			
	Considers and values diversity of team assignments, panel memberships, and training opportunities			
	Provides disability and religious accommodations when accommodations do not cause an undue hardship; engages in interactive process with employees; maintains confidentiality of requests			
	Ensures that subordinate supervisors have effective managerial, communication, and interpersonal skills to supervise a workplace with diverse employees			
	Coordinates with EEO office to comply with settlement agreements and orders issued by the agency, EEOC, and EEO-related cases from appropriate authorities			
	Encourage staff's participation in community outreach and external recruitment programs			
	Actively monitors work environment to ensure it is free of all forms of discrimination, harassment and retaliation			
	Participates in the ADR process			

CNIC NON-APPROPRIATED FUND EMPLOYEE PERFORMANCE RATING FORM, continued

INDIVIDUAL DEVELOPMENT PLAN (IDP)					
CNIC Mission					
Deliver Effective and Efficient Readiness from the Shore					
FFR N9 Statement					
1 N (L , F; , M; H, L ;;)					
1a. Name (Last, First, Middle Initial)		2. Current Position Title, Series and Grade:			
1b. SSN: xxx-xx-0000 (Last 4 only)		☐ Regular Full Time ☐ Regular Part Time			
	1	☐ Flexible Schedule ☐ Other			
3. Organization:	4. Date of Appoin	ntment to Position:			
	5. Performance P	eriod for IDP:			
		to			
6. Goals that support the mission of					
11					
a.					
b.					
c.					
d.					
e.					
(use separate sheet if needed)					
7. Learning opportunities to refresh, or acquire, skills u	used to accomplish goa	lls(do not include Navy required training):			
a.					
b.					
c.					
Conferences, seminars, workshops courses or college	e classes requested for	professional development. (Include date and associated costs for			
registrations):		F			
a.					
a.					
b.					
c.					
8. Remarks:					
9. Employee's Signature:		10. Supervisor's Signature:			
(Concurred by employee) Date:		(Concurred by supervisor) Date:			